



Attorney Docket: 3036/49909  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: JOHN MANSBRIDGE

Serial No.: 09/842,207                      Group Art Unit:

Filed: APRIL 26, 2001                      Examiner:

Title: DEMULTIPLEXER CONTROL SYSTEM

CLAIM FOR PRIORITY UNDER 35 U.S.C. §119

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The benefit of the filing date of prior foreign application No. 0010316.8, filed in Great Britain on April 28, 2000, is hereby requested and the right of priority under 35 U.S.C. §119 is hereby claimed.

In support of this claim, filed herewith is a certified copy of the original foreign application.

Respectfully submitted,

August 15, 2001

*Gary R. Edwards* Reg. NO. 31,824  
107 Gary R. Edwards  
Registration No. 31,824

CROWELL & MORING, LLP  
P.O. Box 14300  
Washington, DC 20044-4300  
Telephone No.: (202) 628-8800  
Facsimile No.: (202) 628-8844

This Page Blank (uspto)



INVESTOR IN PEOPLE



The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subject the company to certain additional company law rules.

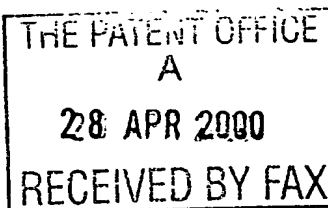
Signed

Dated 4 May 2001

7.13 Page Blank (uspto)

# Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)



The Patent Office

Cardiff Road  
Newport  
Gwent NP9 1RH

1. Your reference

2000P04805/GB/R76/DK/MM/cs

2. Patent application number

(The Patent Office will fill in this part)

0010316.8

28 APR 2000

3. Full name, address and postcode of the or of each applicant (underline all surnames)

Roke Manor Research Limited  
Roke Manor  
Old Salisbury Lane  
Romsey  
Hampshire. SO51 0ZN

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

United Kingdom

5615455006

4. Title of the invention

DEMULTIPLEXER CONTROL SYSTEM

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

DEREK ALLEN

Siemens Shared Services Limited  
Intellectual Property Department  
Siemens House, Oldbury  
Bracknell, Berkshire RG12 8FZ  
United Kingdom

Patents ADP number (if you know it)

7761000002

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
(if you know it)

Date of filing  
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

YES

- a) any applicant named in part 3 is not an inventor, or
  - b) there is an inventor who is not named as an applicant, or
  - c) any named applicant is a corporate body.
- See note (d))

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 7

Claim(s) 2

Abstract 1

Drawing(s) 4

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77) 2

Request for preliminary examination and search (Patents Form 9/77) 1

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application

Signature

*M Mackett*

Date 28/04/2000

Margaret Mackett

12. Name and daytime telephone number of person to contact in the United Kingdom

Margaret Mackett - 01344 396808

### Warning

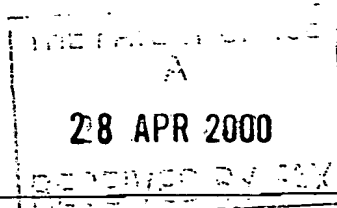
After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

### Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 5000.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Patents Form 1/77

Statement of inventorship and of  
right to grant of a patent



The Patent Office  
Cardiff Road  
Newport  
Gwent NP9 1RH

1. Your reference

2000P04805/GB/R76/DK/MM/cs

2. Patent application number  
(If you, know it)

0010316.8

28 APR 2000

3. Full name of the or of each applicant

Roke Manor Research Limited

4. Title of the invention

DEMULTIPLEXER CONTROL SYSTEM

5. State how the applicant(s) derived the right  
from the inventor(s) to be granted a patent

By provisions of contract of service and Section 39(1) (a) and (b) of  
the Patent Act 1977.

6. How many, if any, additional Patents Forms  
7/77 are attached to this form?  
(see note (c))

1

I/We believe that the person(s) named over the page (and on any  
extra copies of this form) is/are the inventor(s) of the invention  
which the above patent application relates to.

Signature

*M Mackett*  
Margaret Mackett

Date 28/04/2000

8. Name and daytime telephone number of  
person to contact in the United Kingdom

Margaret Mackett - 01344 396808

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there are more than three inventors, please give the names and addresses of the other inventors on the back of another Patents Form 7/77 and attach it to this form.
- d) When an application does not declare any priority, or declares priority from an earlier UK application, you must provide enough copies of this form so that the Patent Office can send one to each inventor who is not an applicant.
- e) Once you have filled in the form you must remember to sign and date it.

**Patents Form 7/77**

Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

MANSBRIDGE, John  
53F Kingsway  
Chandler's Ford  
Eastleigh  
SO53 1FH

Patents ADP number (if you know it): 1 69 078 003

Patents ADP number (if you know it):

Patents ADP number (if you know it):

**Reminder**

**Have you signed the form?**

**Patents Form 7/77**



## DEMULTIPLEXER CONTROL SYSTEM

The present invention relates to a demultiplexer control system. In particular, the present invention relates to a control system to enable the control of the demultiplexing process associated with an optical backplane.

The invention has application in the development of new architectures for optical switching applied to high-speed digital communication routers/switches.

UK Patent Application No. 9930163.2 – "Data Compression Apparatus and Method Therefor" describes the operation of an optical backplane, for example an optical fibre, in an optical switching system. The operation includes a method for converting packets of data at 10 Gbits/s to packets at 1.28 Tbits/s. In the next step after data compression, the compressed packets are time multiplexed onto the optical backplane of a switching device, for example an IP router or ATM switch. The process of selecting a given compressed packet, from the stream of multiplexed packets on the backplane, is termed demultiplexing the packet.

The operation of an optical switching device wherein pulse trains are time multiplexed on an optical backplane is shown in Figure 4. A laser generates (step 400) a pulse having a duration corresponding to the length of an input packet of data and a linear chirp, i.e. the frequency of the light increases (or decreases) with time during the pulse. Packets of data corresponding to the input data are received (step 402) by input receiver transducers. The data received is buffered (step 404). The buffered data is then transferred to a modulator controller for modulation (step 406) by a modulator.

A modulated data signal from the modulator is then compressed (step 408) by a compressor. In the case of compressed data pulses corresponding to subsequent input data, a delay is introduced (step 410) to facilitate multiplexing of compressed data pulses. Modulated data signals  
 5 are combined (step 412) and form a multiplexed compressed modulated pulse train. The combined multiplexed pulse train is carried by an optical backplane then split and sent to at least one modulator where it is demultiplexed (step 414).

The demultiplexed, compressed packet is decompressed once it has  
 10 passed through the modulator (step 416). The resulting decompressed packet is converted from an optical signal to an electrical signal.

The decompressed, demultiplexed packet is received by output receiver transducers (step 418). The output receiver transducers convert the optical signals received to 10 Gbits/s electrical signals. The signals  
 15 generated by the output receiver transducers are buffered (step 420) before they are forwarded to output transmitter transducers. Finally, the output transmitter transducers convert the received electrical signal to a 10 Gbits/s optical signal for further transmission (step 422).

Demultiplexing (step 414) is carried out by supplying the  
 20 multiplexed pulse train of compressed packets to a modulator that is normally in an 'off' state. The modulator attenuates, deflects or otherwise blocks the packets whilst in the 'off' state. The modulator is arranged to be switched to an 'on' state when the required compressed packet arrives, thus allowing the selected compressed packet to pass through the modulator.

25 In the systems of relevance to IP routers, the modulator is typically in an 'on' state for 50 picoseconds (ps). If the time when the modulator is turned 'on' does not match the arrival of a compressed packet, part of the compressed packet will be lost and the detected signal will be in error. The

timing error has only to be of the order of ten picoseconds to degrade the performance of the switch. Timing errors of this order are common in electrical and optical systems as a result of temperature and other physical changes. In order to ensure that the switch continues to operate effectively, the relative timing of the modulator control signal and the compressed packet must be monitored and periodically adjusted to keep them in their correct relationship.

One approach to achieving this monitoring would be to measure the control pulse and the peak of the compressed data packet and to compare them. This has a number of disadvantages. Firstly, the compressed data packet signal needs to be measured with a very high bandwidth (many tens of gigaHertz (GHz)), which is costly, high in power consumption (therefore heat generating) and difficult to implement.

Secondly, errors on the comparison process are as difficult to avoid as errors in the modulator timing.

Thus there is a need for a technique which enables the timing to be monitored and adjusted without having to measure the signals with picosecond accuracy or bandwidths of many tens of gigaHertz.

It is therefore an object of the invention to obviate or at least mitigate the aforementioned problems.

In accordance with one aspect of the present invention, there is provided a system for controlling the demultiplexing process in an optical backplane device, the optical backplane device including: a modulator means, which is controlled by a modulator control signal and which extracts a selected compressed data packet from a multiplexed stream of compressed packets; a monitoring means, wherein the effect of timing errors on decompressed signals is monitored; and a control signal adjusting

means, wherein the timing errors are corrected by adjusting the timing of the modulator control signal.

Preferably, the timing of modulator control signal is continuously adjusted to minimise timing errors.

5 The timing of the modulator control signal is preferably advanced if the trailing edge of a given decompressed pulse train is reduced more than the leading edge of said decompressed pulse train and delayed if the leading edge of the given decompressed pulse train is reduced more than the trailing edge of said decompressed pulse train.

10 Advantageously, both the delay and the advance are proportional to the difference in reduction between the leading and trailing edges.

Preferably, the timing of the modulator control signal makes use of calibration pulse trains of known value and suitable form to adjust the timing of modulator control pulses.

15 The timing of the modulator control signal may make use of a calibration pulse train consisting of binary 'ones' only. Alternatively, the timing of the modulator control signal may make use of a calibration pulse train consisting of a finite repeating sequence of binary 'one' followed by binary 'zero'.

20 For a better understanding of the present invention, reference will now be made, by way of example only, to the accompanying drawings in which:

Figure 1 shows a schematic diagram of the demultiplexing process;

25 Figure 2 illustrates the distorting effect of demultiplexer timing error on a decompressed signal;

Figure 3 illustrates the distorting effect of demultiplexer timing error on a calibration pulse train of all 'ones' as may be used in the present invention; and

Figure 4 shows a schematic diagram of the operation of an optical switching devices using time multiplexing of pulse trains on an optical backplane as disclosed in UK Patent Application No. 9930163.2.

Figure 1 shows how demultiplexing is carried out by supplying the stream of multiplexed compressed packets 110 to a modulator 102 that is normally in an 'off' state. The modulator 102 attenuates, deflects or otherwise blocks the packets 110 whilst in the 'off' state. The modulator 102 is arranged to be switched to an 'on' state when the required compressed packet 120 arrives, thus allowing the selected compressed packet 120 to pass through the modulator 102. The selected compressed packet 120 is decompressed 104 after passing through the modulator 102. The resulting decompressed packet 116 is converted from an optical signal to an electrical signal by a converter 106: in other words the decompressed packet 116 is 'detected'.

When a signal is less than completely compressed, an error in the timing of the modulator control signal can be arranged so that the decompressed signal is distorted in a specific way. The effect of timing errors in the demultiplexer control signal when incomplete compression is implemented, is illustrated in Figure 2.

Figure 2 shows the effect on the decompressed signal of the timing error at timing errors of -20 ps, -10 ps, zero error, +10ps and +20 ps. It can be seen that if the timing error is negative the leading edge of the decompressed pulse train is reduced more than the other end, whereas if the timing error is positive the trailing edge is reduced more.

The timing error effect gives an unambiguous indication of the direction and amount of the timing error and by controlling delays in either the optical or electronic parts of the system, the error can then be corrected for. Thus the distortion can be continuously monitored and the timing

adjusted continuously to minimise the timing drifts due to phenomena including temperature, ageing and mechanical effects.

One method for arranging a suitable distortion is to make the compressor an imperfect match to the laser chirp. Crucially, therefore, the signal is not compressed to its full extent. The signal being compressed to a lesser extent is, in any case, desirable to reduce the peak power in the compressed signal. It should be remarked that a small degree of incomplete compression has little effect on the performance of the system.

The distortion can be monitored by looking at the signal level of the data pulses along the length of the decompressed pulse. If the data is non-return to zero (NRZ) this process would have to be averaged over a number of decompressed pulse trains, since in any given pulse train there may be no pulses to measure, at either one or both end portions of the pulse train. Thus it is advantageous to use specially inserted, calibration pulse trains that consist of a known and suitable set of pulses. Use of calibration pulse trains has a cost because it reduces the transmission bandwidth available to other functions of the system. However, if the uncorrected timing error changes very much more slowly than the data rate then this loss of capacity will not be significant.

The use of a calibration pulse train is illustrated in Figure 3. In this case, the calibration pulse is all 'ones' and it can be seen that monitoring of the distortion is straightforward.

Similarly, a calibration pulse train of alternating 'ones' and 'zeros' can be adopted. Again the distortion introduced by timing errors can be monitored in a simple manner.

If a calibration pulse train is not used, then the data in the pulse train will need to be taken into account when assessing the distortion. This can

be done but involves considerably a monitoring means of considerably more complexity.

- It will be understood that the monitoring of distortion need not involve making measurements of the pulse height at the full data bandwidth. The measurement system is therefore made much easier and less costly.
- 5

**CLAIMS:**

1. A system for controlling the demultiplexing process in an optical backplane device, the optical backplane device including:

5 a modulator means, which is controlled by a modulator control signal and which extracts a selected compressed data packet from a multiplexed stream of compressed packets;

a monitoring means, wherein the effect of timing errors on decompressed pulse trains is monitored, each decompressed pulse train

10 having a leading edge and a trailing edge; and

a control signal adjusting means, wherein the timing errors are corrected by adjusting the timing of the modulator control signal.

2. A system as claimed in Claim 1, wherein the timing of the modulator control signal is continuously adjusted to minimise timing errors.

3. A system as claimed in Claims 1 or 2, wherein the timing of the modulator control signal is advanced if the trailing edge of a given decompressed pulse train is reduced more than the leading edge of said decompressed pulse train and delayed if the leading edge of the given decompressed pulse train is reduced more than the trailing edge of said decompressed pulse train.

4. A system as claimed in Claim 3, wherein both the delay and the advance are proportional to the difference in reduction between the leading and trailing edges.



5. A system as claimed in any one of Claims 1 to 4, wherein the timing of the modulator control signal makes use of calibration pulse trains of known value and suitable form to adjust the timing of modulator control pulses.

5

6. A system as claimed in Claim 5, wherein the timing of the modulator control signal makes use of a calibration pulse train consisting of binary 'ones' only.

10

7. A system as claimed in Claim 5, wherein the timing of the modulator control signal makes use of a calibration pulse train consisting of a finite repeating sequence of binary 'one' followed by binary 'zero'.

15

8. A system substantially as hereinbefore described with reference to the accompanying Figures 1, 2 and 3.

**ABSTRACT****DEMULTIPLEXER CONTROL SYSTEM**

There is provided an system for controlling the demultiplexing  
5 process in an optical backplane device (100). The system has application  
to optical switching in high-speed digital communication routers and  
switches. In demultiplexing, a selected compressed data packet (120) is  
extracted from a multiplexed stream of compressed packets by a modulator  
(102) and decompressed (104). The modulator (102) is controlled by a  
10 control signal (112). An error in the timing of the modulator control signal  
(112) corresponds to a characteristic distortion in the decompressed signal  
(116). By monitoring the effect of timing errors on decompressed signals  
(116), steps can be taken to correct the timing errors by controlling delays  
in either the optical or the electronic parts of the switching device. The  
15 timing of modulator control pulses (112) is thus continuously adjusted to  
minimise timing errors. Instead of monitoring just decompressed signals  
(116), calibration pulse trains of known value and suitable form can be  
inserted.

20 [Figure 1]

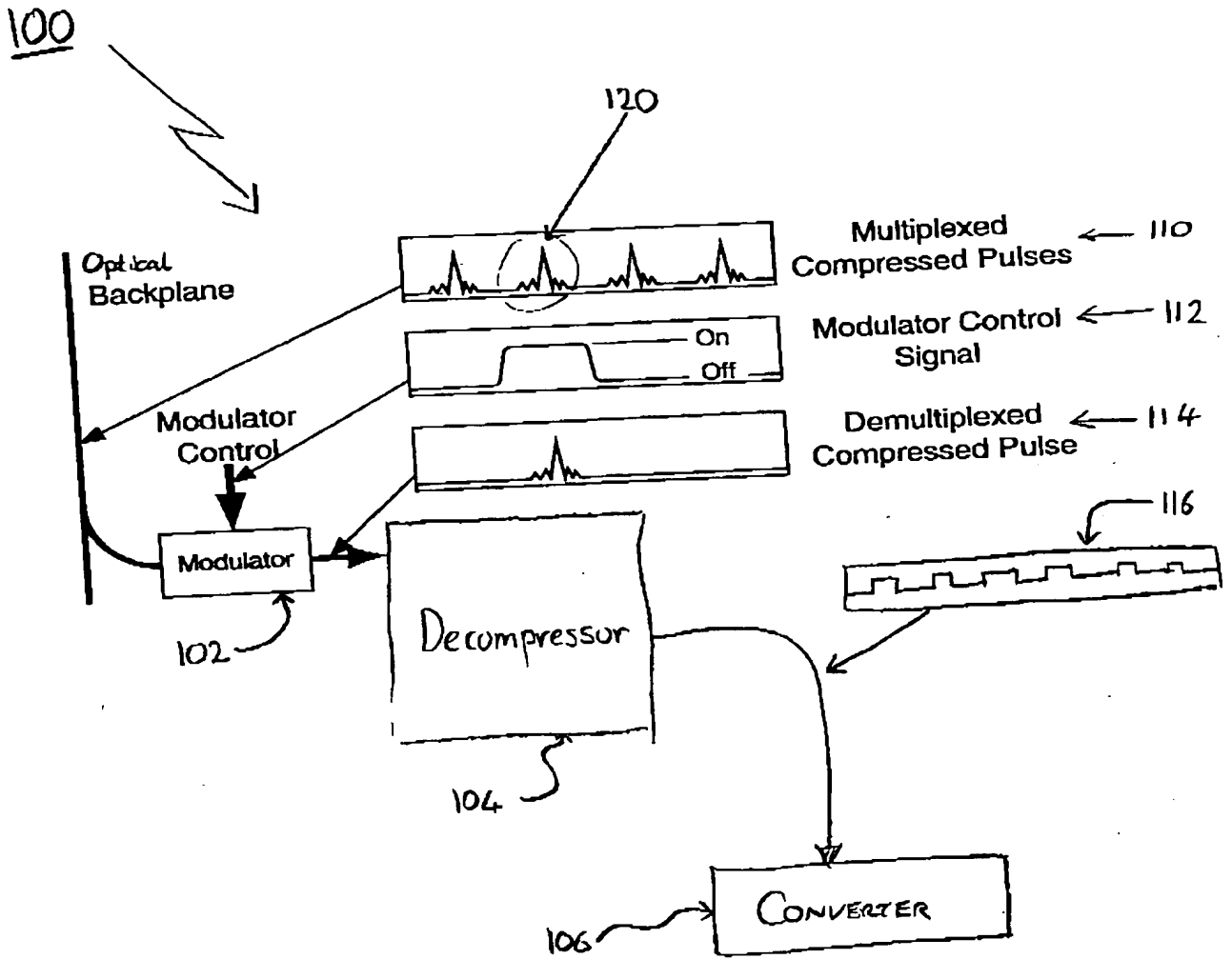


Figure 1

This Page Blank (uspto)

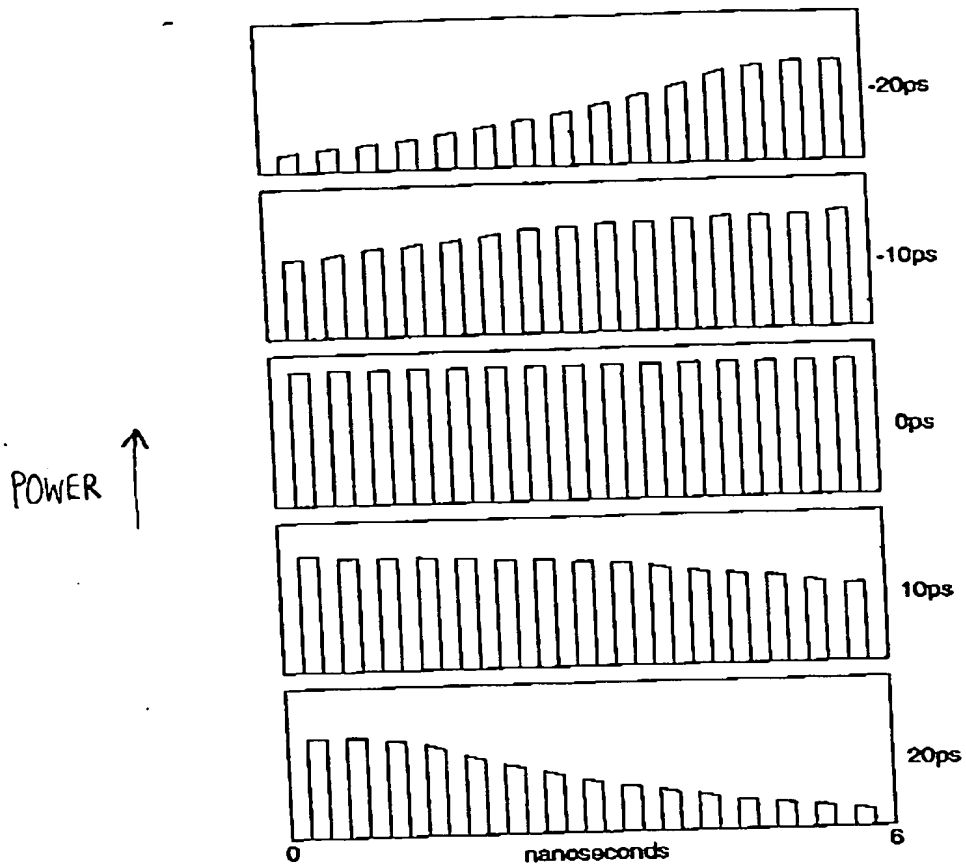


Figure 2

This Page Blank (uspto)

POWER ↑

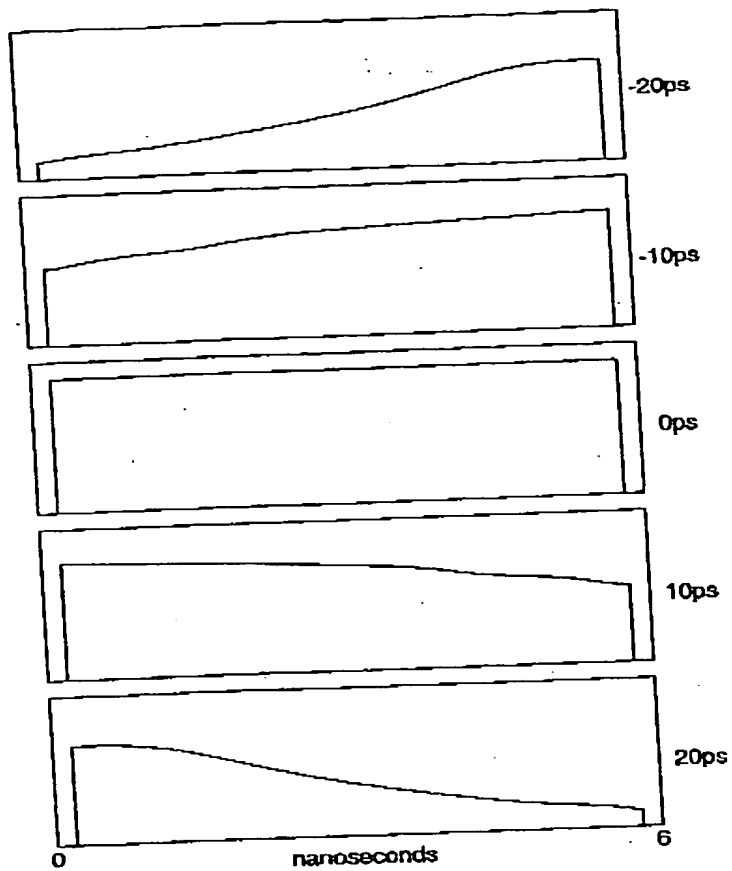


Figure 3

This Page Blank (uspto)



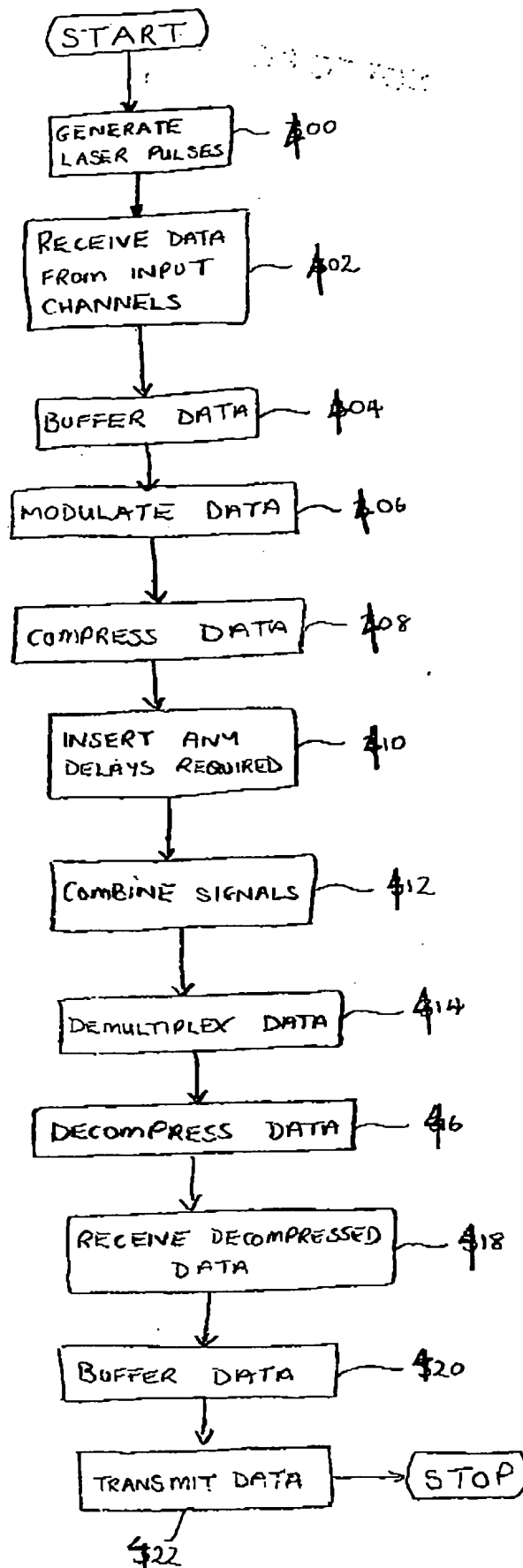


Figure 4

This Page Blank (uspto)

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS

☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☐ FADED TEXT OR DRAWING

☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING

☐ SKEWED/SLANTED IMAGES

☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☐ LINES OR MARKS ON ORIGINAL DOCUMENT

☒ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

This Page Blank (uspto)